



## **ISACA MEETING NOTICE**

# **“Audit and Controls of Oracle 11i General Ledger and System Administration”**

**Wednesday, October 14, 2009      8:30 AM – 4:30 PM**

**Presented by: Michael Parisi and Julia Sales - PricewaterhouseCoopers**

### **OVERVIEW**

In this interactive training session members of the Systems and Process Assurance practice with PricewaterhouseCoopers (PwC), an auditing and professional services firm, will provide you with useful tools and techniques to analyze processes, controls and configurations in the Oracle 11i General Ledger and System Administration modules. They will also provide highlights regarding the differences between Oracle's 11i and Release 12 environments. Exercises around setting audit scopes and focusing testing efforts on the areas of greatest risk will be incorporated. Please refer to outline below for further details.

### **OUTLINE**

PwC will cover multiple components of the configuration of an Oracle GL and System Administration Module and hold a discussion on the following:

- Provide an overview of the Oracle E-Business Suite environment
- Discuss high level differences between versions of the ERP
- Cover key Oracle concepts
- Discuss common interfaces and bolt-on applications for Oracle
- User security administration including system and user profile management, application auditing and back-end access
- Point out key profile configuration options
- Explain and evaluate key risks within the SA module
- Identify key areas for auditing the SA module
- Discuss key components of scoping an Oracle review
- Cover key areas of business setups and significant configurations within the GL module including:
  - ☑ Chart of account management
  - ☑ Currency
  - ☑ Accounting calendars
  - ☑ Period maintenance
  - ☑ Journal processing
- Discuss how the period end financial close process works within Oracle GL including consolidation and reporting tools

- Cover key segregation of duties concerns within the Oracle E-Business Suite
- Explain key differences between the Oracle 11i and R12 environments for the GL and SA
- Discuss recommendations around additional automation that organizations can implement to increase efficiency within the financial close process

## **WHO SHOULD ATTEND**

This seminar is designed for Financial, Operations & IT Auditors.

## **CONTINUING PROFESSIONAL EDUCATION CREDITS**

All attendees are eligible to receive 8 hours of continuing professional education (CPE) credits by attending.

## **ABOUT YOUR SPEAKERS**

Michael Parisi, MBA, CPA, CISA is a manager in the Systems and Process Assurance practice with PricewaterhouseCoopers in Hartford, CT. He is a member of PwC's national Oracle subject matter expert group and has presented multiple training sessions for a number of clients and organizations across the country. He has over 10 years experience in the IT field and 5 years experience in the IT audit and Oracle space. He specializes in automated controls and configurations in the GL and SA modules and has done numerous pre and post implementation Oracle reviews around the country for multiple organizations. Michael also offers training for internal audit departments on all modules in the Oracle E-Business Suite.

Michael received his Masters of Business Administration from Quinnipiac University. He holds an Oracle Release 12 Sub-Ledger Accounting CRP expert designation from Oracle Systems, and is a Summa Cum Laude graduate of Quinnipiac University with a double degree in Accounting and Computer Information Systems.

Julia Sales, CISA, is an experienced senior associate in the Systems and Process Assurance practice with PricewaterhouseCoopers in Hartford, CT. She is a member of PwC's national Oracle support group and has relevant experience with the Oracle GL, SA and AP modules. She is one of the detailed fieldwork leaders in the Northeast for different types of Oracle engagements including pre and post implementation reviews. Julia specializes in controls optimization reviews for clients across the region associated with the GL and AP modules. She has over 5 years experience in the IT audit field and 4 years experience in auditing the Oracle space. Julia has participated in facilitation and training sessions on auditing the Oracle E-Business Suite for multiple organizations.

Julia is a Summa Cum Laude graduate of the University of Connecticut with a degree in Business Administration.

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**Registration Deadline – Monday, October 12, 2009**

**PLACE:**

**CPA Center**

**845 Brook Street, Building #2**  
**Rocky Hill, CT 06067**  
**(860) 258-4800**

<http://www.cscpa.org/Content/CPACenter.aspx>

- From I-91, take Exit 23
- Go away from the Marriott towards Cromwell Avenue, also known as "The Shunpike."
- Go to the third traffic light and turn left onto Brook Street. The entrance to 845 Brook Street is the first driveway on your left; Building #2 is on the left side of the complex

**COST: ISACA members - \$150.00**

**Non-members - \$200.00**

(Includes continental breakfast and lunch)

*Only Cash or Check is accepted at the door.*

Please make checks payable to:

**ISACA - Greater Hartford Chapter**  
**Old State House Station,**  
**P.O. Box 231344**  
**Hartford, CT 06123-1344**

**REGISTRATION:**

To register, email - [registration@isacaCT.org](mailto:registration@isacaCT.org) and provide your:

- 1) Name:**
- 2) Company:**
- 3) Phone number:**
- 4) ISACA member (provide membership #):**
- 5) Either receipt required at door (Y/N):**  
**Or advance invoice requested (Y/N):**

***NOTE: Advance Invoice request requires pre-approval, billing address and PO, if applicable.***

EMAIL reservations will receive a confirmation within 24 hours. **Deadline for registration is Monday, October 12, 2009.**

*NOTE: We reserve the right to bill for reservations not cancelled 24 hours in advance of the event.*

**7:45am – 8:30am : Registration & Breakfast**

**8:30am – 12:00pm : Seminar**  
**with 15 minute break**

**12:00pm – 12:50pm : Lunch**

**12:50pm – 4:30pm : Seminar**  
**with 15 minute break & snack**